

Receipt

Attorney Docket No.: 6229.200-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re Application of: Christian Grøndahl et al.

RECEIVED

Serial No.: 09/934,948

Group Art Unit: 1632

JAN 08 2002

Filed: August 22, 2001

Examiner: To be assigned

TECH CENTER 1600/2900

For: Receptors and Signalling Proteins Capable of Binding Meiotic Acting Sterols (MAS)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

Commissioner for Patents  
Washington, DC 20231

Sir:

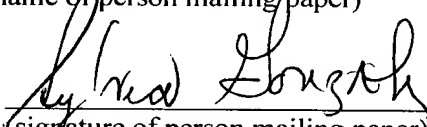
I hereby certify that the attached correspondence comprising:

1. Request for Corrected Filing Receipt
2. Copy of Filing Receipt

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, DC 20231

on October 22, 2001.

Sylvia Gonzalez  
(name of person mailing paper)  
  
(signature of person mailing paper)



Attorney Docket No.: 6229.200-US

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**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
Washington, DC 20231

Sir:

Applicants filed the above-captioned application on August 22, 2001.

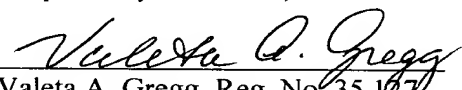
The filing receipt received by Applicants incorrectly indicated the "Domestic Priority data as claimed by applicant", filing date is for U.S. provisional application No: 60/231,670 is September 11, 2000\* (\*) Data inconsistent with PTO records. This filing date is correct. A copy of the signed Declaration and Power of Attorney, return serial postcard for U.S. provisional application no: 60/231,670 with filing date, and the filing receipt is attached to this request.

Applicants therefore request the issuance of a corrected filing receipt.

Applicants submit that the error was the fault of the USPTO.

Respectfully submitted,

Date: October 22, 2001

  
Valeta A. Gregg, Reg. No. 35,127  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123



23650

PATENT TRADEMARK OFFICE

VGre/Ton

RECEIVED Page 1 of 2

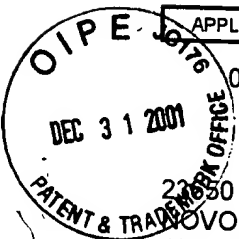
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54



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 WASHINGTON, D.C. 20231  
 www.uspto.gov


APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/934,948	08/22/2001	1632	2378	6229.200- US	1	46	18

CONFIRMATION NO. 9075

FILING RECEIPT



\*OC00000006874662\*

 NOVO NORDISK OF NORTH AMERICA, INC  
 405 LEXINGTON AVENUE  
 SUITE 6400  
 NEW YORK, NY 10017

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**
 Christian Grondahl, Vaerloese, DENMARK;  
 Henrik Vissing, Virum, DENMARK;  
 Philip Wahl, Frederiksberg, DENMARK;
**Domestic Priority data as claimed by applicant**
 THIS APPLN CLAIMS BENEFIT OF 60/231,670 09/11/2000 \*  
 (\*) Data inconsistent with PTO records.
**Foreign Applications**

DENMARK PA 2000 01259 08/25/2000

If Required, Foreign Filing License Granted 10/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Receptors and signalling proteins capable of binding meiotic acting sterols (MAS)

Preliminary Class

435

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

VGre/Ton

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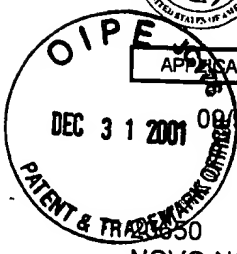
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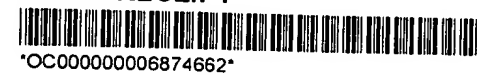


APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/034,948	08/22/2001	1632	2378	6229.200- US	1	46	18

NOVO NORDISK OF NORTH AMERICA, INC  
405 LEXINGTON AVENUE  
SUITE 6400  
NEW YORK, NY 10017

CONFIRMATION NO. 9075

FILING RECEIPT



\*OC000000006874662\*

Date Mailed: 10/09/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

Christian Grondahl, Vaerloose, DENMARK;  
Henrik Vissing, Virum, DENMARK;  
Philip Wahl, Frederiksberg, DENMARK;

**Domestic Priority data as claimed by applicant**

THIS APPLN CLAIMS BENEFIT OF 60/231,670 09/11/2000 \*  
(\* ) Data inconsistent with PTO records.

**Foreign Applications**

DENMARK PA 2000 01259 08/25/2000

If Required, Foreign Filing License Granted 10/09/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

**Title**

Receptors and signalling proteins capable of binding meiotic acting sterols (MAS)

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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Bib Data Sheet

CONFIRMATION NO. 9075

<b>SERIAL NUMBER</b> 09/934,948	<b>FILING DATE</b> 08/22/2001 <b>RULE</b>	<b>CLASS</b> 435	<b>GROUP ART UNIT</b> 1632	<b>ATTORNEY DOCKET NO.</b> 6229.200-US
<b>APPLICANTS</b> Christian Grondahl, Vaerloose, DENMARK; Henrik Vissing, Virum, DENMARK; Philip Wahl, Frederiksberg, DENMARK;				
<b>** CONTINUING DATA *****</b> THIS APPLN CLAIMS BENEFIT OF 60/231,670 09/11/2000				
<b>** FOREIGN APPLICATIONS *****</b> DENMARK PA 2000 01259 08/25/2000				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED</b> <b>** 10/09/2001</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no 35 USC 119 (a-d) conditions <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after met Allowance Verified and Acknowledged _____ Examiner's Signature Initials		<b>STATE OR COUNTRY</b> DENMARK	<b>SHEETS DRAWING</b> 1	<b>TOTAL CLAIMS</b> 46
				<b>INDEPENDENT CLAIMS</b> 18
<b>ADDRESS</b> 23650				
<b>TITLE</b> Receptors and signalling proteins capable of binding meiotic acting sterols (MAS)				
<b>FILING FEE RECEIVED</b> 2378	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	



Attorney Docket No. 6229.003-US  
Patent Application entitled:  
Applicants: Wahl et al.

*Mas Receptors*

The USPTO hereby acknowledges receipt of the following:  
1. Express Mail Certificate  
2. Filing Under C.F.R. \$1.53 (in duplicate)  
3. Provisional Application

CRoz/Mkel  
September 9, 2000

Via US Express Mail, label no.

EL636737584US

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Attorney Docket No. 6229.003-US  
Patent Application entitled:  
Applicants: Wahl et al.

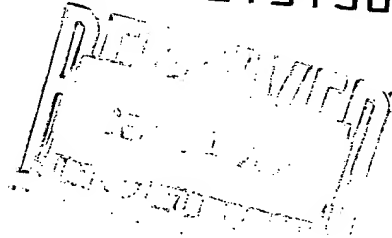
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

EXPRESS MAIL CERTIFICATE

Assistant Commissioner for Patents  
Washington, DC 20231

Re: U.S. Provisional Application for  
"Background Of The Invention"  
Applicants: Wahl et al.

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JAN 08 2002  
TECH CENTER 1600/2900

Sir:

Express Mail Label No. EL636737584US

Date of Deposit September 11, 2000

I hereby certify that the following attached paper(s) or fee

1. Filing Under 37 C.F.R. §1.53(c) (in duplicate)
2. Provisional Application

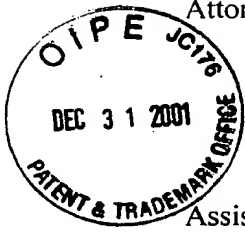
are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Miriam Kelly  
(Name of person mailing paper(s) or fee)

*Miriam Kelly*  
(Signature of person mailing paper(s) or fee)

Mailing Address:

Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10017  
(212) 867-0123



Attorney Docket No.: 6229.003-US

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FILING UNDER 37 C.F.R. §1.53(c)

Assistant Commissioner for Patents  
Washington, DC 20231

Express Mail Label No. EL636737584US  
Date of Deposit September 11, 2000

Sir:

This is a request for filing a provisional application under 37 C.F.R. §1.53(c),  
of the inventors:

Philip Wahl, a citizen of Denmark, residing at Ved Andebakken 8, 4<sup>th</sup>, DK-  
2000 Frederiksberg, Denmark;

Henrik Vissing, a citizen of Denmark, residing at Virumstræde 13A, DK-2830  
Virum, Denmark; and

Christian Grøndahl, a citizen of Denmark, Irisvej 19, DK-3500 Værløse,  
Denmark for application entitled: MAS Receptors.

The provisional application contains:

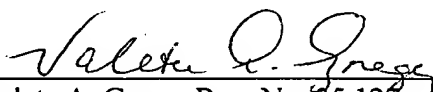
23 pages of specification including including 11 pages of Sequence Listing

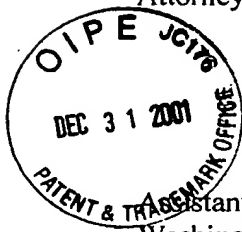
Address all future communications to Steve T. Zelson, Esq., Novo Nordisk of  
North America, Inc., 405 Lexington Avenue, Suite 6400, New York, NY 10174-6401.

Please charge the required fee, estimated to be \$150, to Novo Nordisk of  
North America, Inc., Deposit Account No. 14-1447. A duplicate of this sheet is enclosed.

Respectfully submitted,

Date: September 11, 2000

  
Valeta A. Gregg, Reg. No. 35,127  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123



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Henrik Vissing, a citizen of Denmark, residing at Virumstræde 13A, DK-2830  
Virum, Denmark; and

Christian Grøndahl, a citizen of Denmark, Irisvej 19, DK-3500 Værløse,  
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23 pages of specification including including 11 pages of Sequence Listing

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Respectfully submitted,

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A handwritten signature in cursive script, appearing to read "Valeta A. Gregg".

Valeta A. Gregg, Reg. No. 35,127  
Novo Nordisk of North America, Inc.  
405 Lexington Avenue, Suite 6400  
New York, NY 10174-6401  
(212) 867-0123



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Attorney Docket No. 6229.200-US  
Patent Application entitled: "Receptors and Signalling Proteins  
Capable of Binding Meiotic Acting  
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Applicants: Christian Grøndahl et al.  
USSN: 09/934,948

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VGre/SCon  
October 22, 2001

VIA First Class Mail